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35657 7590 07/07/2005

FAEGRE & BENSON LLP
PATENT DOCKETING
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Claudia A. Mendoza	(Depositor's name)
<i>Claudia Mendoza</i>	(Signature)
August 8, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/495,982	02/01/2000	Christopher L. Jones	59036-249728	5534

TITLE OF INVENTION: FINANCIAL ADVISORY SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400 \$1400 \$700	\$0	\$1400 \$700	10/07/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
DIXON, THOMAS A	3639	705-007000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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Faegre & Benson, LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

FINANCIAL ENGINES

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

1804 Embarcadero Road, Suite 200
Palo Alto, CA 94303

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☒ Issue Fee
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- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Michael A. DeSanctis, Esq.

Date August 8, 2005

Typed or printed name Michael A. DeSanctis, Esq.

Registration No. 39,957

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